

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	217537US-6 DIV
First Inventor or Application Identifier	Shinichi HIRATA
Title	RECEPTION METHOD, RECEPTION DEVICE, TRANSMISSION METHOD, TRANSMISSION DEVICE, TRANSMISSION/RECEPTION METHOD, TRANSMISSION/RECEPTION DEVICE
Assignee Name:	SONY CORPORATION
Assignee Address:	6-7-35 Kitashinagawa Shinagawa-ku Tokyo 141-0001, JAPAN

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

## ACCOMPANYING APPLICATION PARTS

2. ☒ Specification Total Sheets **32**
3. ☒ Formal Drawing(s) Total Sheets **10**  
(35 U.S.C. 113)
4. ☒ Oath or Declaration Total Pages **3**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

7. ☒ Assignment Recorded at Reel/Frame: 9514/0199
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449/892 ☒ Copies of IDS Citations (1)
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☒ Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/039,922 filed on March 16, 1998.
- Prior application information: Examiner: REUBEN BROWN Group Art Unit: 2711

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
of application Serial No. 09/039,922 Filed on March 16, 1998, allowed
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

## 19. CORRESPONDENCE ADDRESS



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Name:	Surinder Sachar	Registration No.:	34,423

Docket No. 217537US-6 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Shinichi HIRATA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: RECEPTION METHOD, RECEPTION DEVICE, TRANSMISSION METHOD, TRANSMISSION DEVICE,  
TRANSMISSION/RECEPTION METHOD, TRANSMISSION/RECEPTION DEVICE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	35 - 20 =	15	× \$18 =	\$270.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$84 =	\$252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$1,262.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,262.00

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A duplicate copy of this sheet is enclosed.

■ A check in the amount of \$1,262.00 to cover the filing fee is enclosed.

■ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
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Respectfully Submitted,

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Date: Oct. 28 2001



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